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### Cocoa Phytochemicals: Recent Advances in Molecular Mechanisms on Health

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# Cocoa Phytochemicals: Recent Advances in Molecular Mechanisms on Health

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*Recent reports on cocoa are appealing in that a food commonly consumed for pure pleasure might also bring tangible benefits for human health. Cocoa consumption is correlated with reduced health risks of cardiovascular diseases, hypertension, atherosclerosis, and cancer, and the health-promoting effects of cocoa are mediated by cocoa-driven phytochemicals. Cocoa is rich in procyanidins, theobromine, (–)-epicatechin, catechins, and caffeine. Among the phytochemicals present in consumed cocoa, theobromine is most available in human plasma, followed by caffeine, (–)-epicatechin, catechin, and procyanidins. It has been reported that cocoa phytochemicals specifically modulate or interact with specific molecular targets linked to the pathogenesis of chronic human diseases, including cardiovascular diseases, cancer, neurodegenerative diseases, obesity, diabetes, and skin aging. This review summarizes comprehensive recent findings on the beneficial actions of cocoa-driven phytochemicals in molecular mechanisms of human health.*

**Keywords** Cocoa, cardiovascular diseases, cancer, neurodegeneration, obesity and diabetes, skin aging

## INTRODUCTION: COCOA PHYTOCHEMICALS

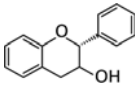
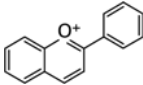
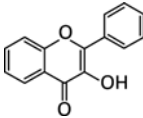
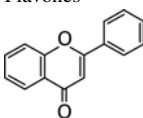
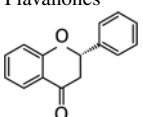
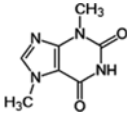
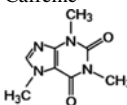
Cocoa contains numerous phytochemicals that are mostly flavonoid and nonflavonoid phenols, and methylxanthines as listed in Table 1 (Smit et al., 2004; Ramiro-Puig and Castell, 2009; Ptolemy et al., 2010). Flavonoids in cocoa can be subdivided into several classes based on the degree of hydroxylation and oxidation of the rings (Table 1). The primary flavonoids in cocoa are flavan-3-ols, the monomeric (–)-epicatechin and catechins, and the polymeric procyanidins (Figs. 1 and 2, Steinberg et al., 2003). The basic chemical structure of a cocoa flavonoid flavanol consists of two aromatic rings linked via an oxygenated heterocycle (Fig. 2A, Steinberg et al., 2003). Cocoa flavanol

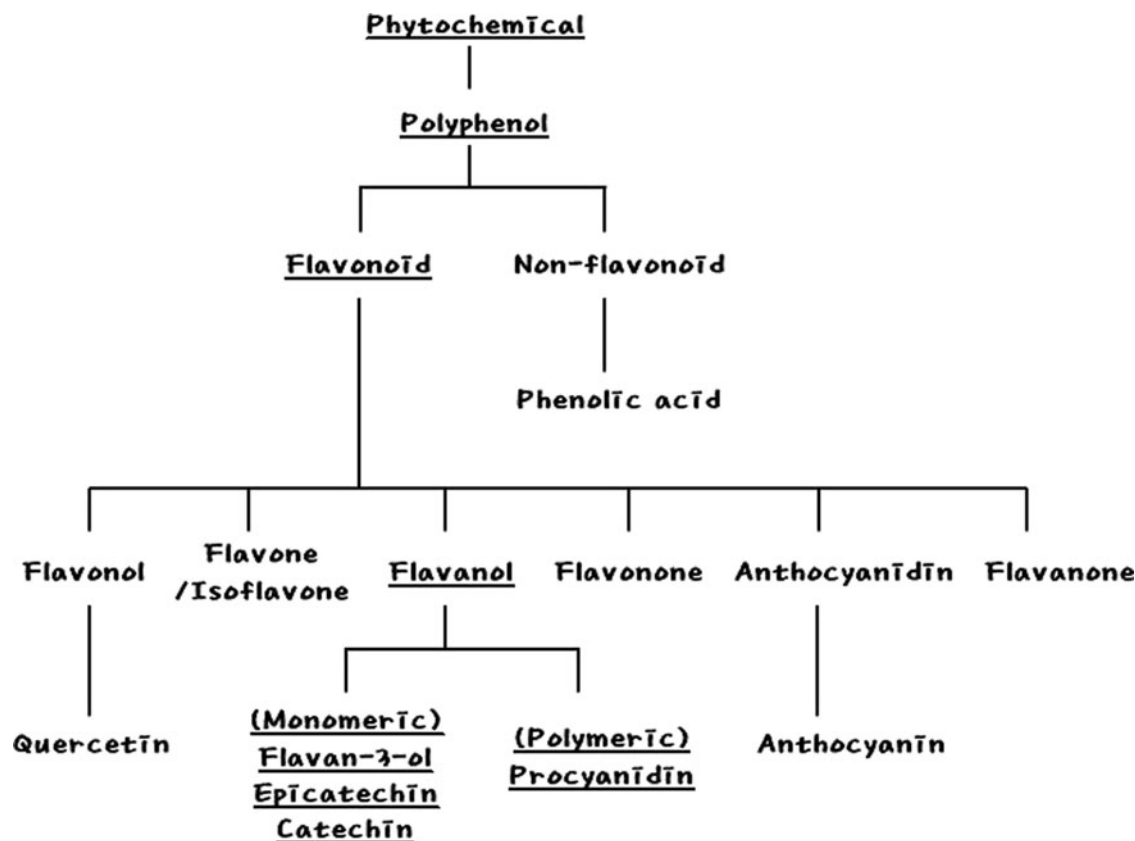
monomer (–)-epicatechin and catechins can form links between C4 and C8, allowing them to assemble as dimers, oligomers, and polymers (Fig. 2B, Manach et al., 2004). The polymers of (–)-epicatechin and catechins are procyanidins, also known as condensed tannins, which, through the formation of complexes with salivary proteins, are responsible for the astringency of cocoa (Manach et al., 2004).

Procyanidins are the most abundant phytochemicals in cocoa and chocolate products, with reported levels ranging from 1.08 to 85.36 mg/g (Table 2, Counet et al., 2006). They can be dimeric or polymeric combinations of (–)-epicatechin and catechins, with chains of up to and over 10 units in cocoa (Cooper et al., 2007). Among flavonoids, (–)-epicatechin and catechins are the next most abundant in cocoa and cocoa products (Table 2, Miller et al., 2006). The content of catechins is lower than (–)-epicatechin in most cocoa products (Gu et al., 2006). A recent study demonstrated that the catechin in chocolate is predominantly the (–)-catechin isomer, rather than the (+)-catechin isomer that is present in most other foods (Gotti et al.,

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**Table 1** Phytochemicals contained in cocoa

Flavanols	(A) Flavonoid phenols
	(-)-Epicatechin
	(-)-Catechin
	(+)-Catechin
	(-)-Epicatechin-3- <i>O</i> -gallate
	(+)-Gallocatechin
	(-)-Epigallocatechin
	Procyanidin B <sub>1</sub> (epicatechin-(4 $\beta$ →8)-catechin)
	Procyanidin B <sub>2</sub> (epicatechin-(4 $\beta$ →8)-epicatechin)
	Procyanidin B <sub>2</sub> - <i>O</i> -gallate (epicatechin-3- <i>O</i> -gallate-(4 $\beta$ →8)-epicatechin)
	Procyanidin B <sub>2</sub> -3,3-di- <i>O</i> -gallate (epicatechin-3- <i>O</i> -gallate-(4 $\beta$ →8)-epicatechin-3- <i>O</i> -gallate)
	Procyanidin B <sub>3</sub> (catechin-(4 $\alpha$ →8)-catechin)
	Procyanidin B <sub>4</sub> (catechin-(4 $\alpha$ →8)-epicatechin)
	Procyanidin B <sub>4</sub> -3- <i>O</i> -gallate (catechin-(4 $\beta$ →8)-epicatechin-3- <i>O</i> -gallate)
	Procyanidin C <sub>1</sub> (epicatechin-(4 $\beta$ →8)-epicatechin-(4 $\beta$ →8)-epicatechin)
	Procyanidin D (epicatechin-(4 $\beta$ →8)-epicatechin-(4 $\beta$ →8)-epicatechin-(4 $\beta$ →8)-epicatechin)
Anthocyanins	3- $\alpha$ -L-Arabinosidyl cyaniding
	3- $\beta$ -D-Galactosidyl cyaniding
Flavonols	Quercetin
	Quercetin-3- <i>O</i> -arabinoside
	Quercetin-3- <i>O</i> -galactoside
	Isoquercetin (quercetin-3- <i>O</i> -glucoside)
Flavones	Luteolin
	Luteolin-7- <i>O</i> -hyperoside
	Orientin
	Iso-orientin
	Vitexin
	Isovitexin
Flavanones	Naringenin
	Naringenin-7- <i>O</i> -glucoside
Phenolic acids	(B) Nonflavonoid phenols
	Chlorogenic acid
	Vanillic acid
	Coumaric acid
	Phloretic acid
	Caffeic acid
	Ferulic acid
	Phenylacetic acid
	Syringic acid
Other	Resveratrol
	Piceid
	Clovamide
	Deoxyclovamide
	Dideoxyclovamide
Theobromine	(C) Methylxanthines
	
Caffeine	
	



**Figure 1** Major classes of flavonoids. Flavonoids include flavonols (such as quercetin), flavones, isoflavones (genistein and diadzein), flavonones, anthocyanidins, flavanones, and flavanols, such as epicatechin, catechin, and procyanidin (also termed proanthocyanidin). The predominant classes of flavonoids in cocoa are (–)-epicatechin, catechins, and procyanidins (indicated with underline).

2006). The (–)-catechin is likely formed from epimerization at the 2 position of (–)-epicatechin during cocoa processing (Gotti et al., 2006; Cooper et al., 2007). It was reported that the concentrations of (–)-epicatechin can be used to predict the content of total polyphenols in cocoa as well as procyanidin B2 and C1 (Cooper et al., 2007). In smaller amounts, traces of (+)-gallocatechin and (–)-epigallocatechin have been found in cocoa (Table 1, Nazaruddin et al., 2006). Anthocyanins are also present in somewhat lower amounts in cocoa, which give rise to the purple color of unfermented cocoa beans (Pettipher, 1986). The anthocyanin fraction consists mainly of 3- $\alpha$ -L-arabinosidyl cyanidin and 3- $\beta$ -D-galactosidyl cyanidin (Forsyth, 1952). Cocoa also contains flavonols such as quercetin and its derivatives (an arabinoside, a galactoside, and a glucoside) (Ramiro-Puig and Castell, 2009).

Although disregarded, cocoa products contain high amounts of methylxanthine theobromine and caffeine (Tables 1 and 2). Theobromine (3,7-dimethylxanthine) is a metabolite of caffeine (1,3,7-trimethylxanthine), amounts in cocoa products similar to those of procyanidins (Smit et al., 2004). Dark chocolate contains 240–520 mg and milk chocolate 65–160-mg theobromine per 50-g portion (Smit and Blackburn, 2005). A 50-g bar of dark chocolate contains 17- to 36-mg caffeine, compared with coffee and tea, of which typical servings contain between 40- and 130-mg caffeine (Smit and Blackburn, 2005). The nonflavonoid

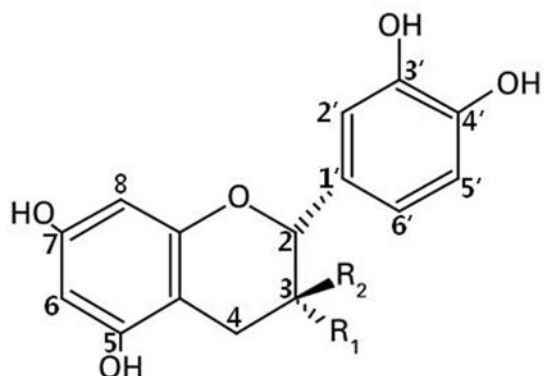
phenols *trans*-resveratrol and *trans*-piceid were found at concentrations of at least 0.5 and 1.2  $\mu\text{g}/\text{ml}$  in cocoa liquor and at least 0.4 and 1  $\mu\text{g}/\text{ml}$  in dark chocolate, respectively (Table 1, Counet et al., 2006).

The concentrations of all phytochemicals can vary tremendously between cocoa beans and cocoa-containing foods, depending on the source of the beans and the processing conditions (Engler and Engler, 2006; Andres-Lacueva et al., 2008). The concentration of flavanols markedly decreases during the conventional manufacturing process from fresh cocoa seeds to the final product (Engler and Engler, 2006; Andres-Lacueva et al., 2008). The ratio and type of polyphenols found in the beans are unlikely to be exactly the same as those found in the final product (Cooper et al., 2007). For example, cocoa flavanol monomer (–)-epicatechin content ranges from 1.24 to 16.52 mg/g in cocoa beans, but it is only found from 0.116 to 6.778 mg/g in cocoa powder and from 0.023 to 2.270 mg/g in chocolate (Table 2).

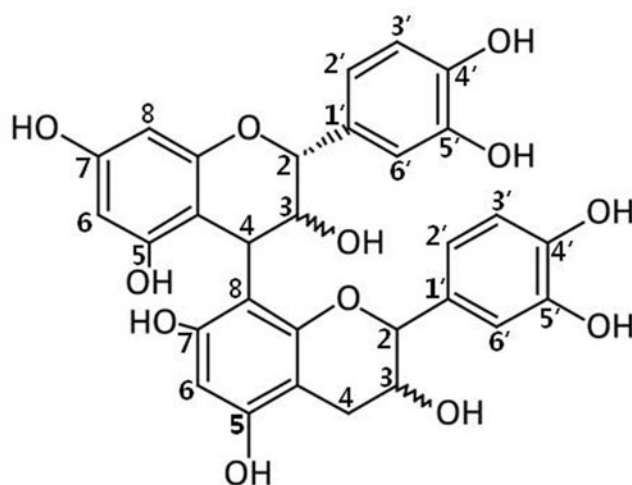
#### BIOAVAILABILITY OF COCOA PHYTOCHEMICALS

Bioavailability differs greatly from one phytochemical to another, so that the most abundant phytochemicals in our diet

(A)



(B)



**Figure 2** Chemical structure of the main cocoa flavonoids. (A)  $R_1 = \text{OH}$  corresponds to (–)-epicatechin and  $R_2 = \text{OH}$  to (+)-catechin. (B) Dimeric procyanidin ( $4\beta \rightarrow 8$ ). Modified from (Ramiro-Puig and Castell, 2009).

are not necessarily those leading to the highest concentrations of active metabolites in target tissues (Manach et al., 2005). Beside molecular size, other important factors modulating the in vivo efficacy of phytochemicals must be considered, including their metabolic conversion and accumulation in tissues and urinary elimination (Schewe et al., 2008).

Human bioavailability studies of phytochemicals derived from cocoa products found that theobromine, caffeine, and (–)-epicatechin were predominantly present in human plasma (Table 3). Although neglected in previous studies, theobromine and caffeine are much more bioavailable than (–)-epicatechin. After consumption of 80 g of dark chocolate, methylxanthine theobromine was found at concentrations of up to  $63.35 \mu\text{M}$  at 2.6 hours in human plasma (Richelle et al., 1999). Caffeine, another methylxanthine found in cocoa, was found at levels up to  $25.3 \mu\text{M}$  in human plasma 1.5 hours after consumption of 41-g chocolate (Ptolemy et al., 2010). In contrast,  $0.7 \mu\text{M}$  (–)-epicatechin was found after consumption of 80 g of black choco-

late (Richelle et al., 1999). The major circulating metabolites of (–)-epicatechin are (–)-epicatechin-3'-*O*-glucuronide, 4'-*O*-methyl-(–)-epicatechin-3'-*O*-glucuronide, and 4'-*O*-methyl-(–)-epicatechin-5- or 7-*O*-glucuronide (Natsume et al., 2003). Catechins appear to be far less bioavailable than (–)-epicatechin when both are consumed together in cocoa products (Holt et al., 2002).

In vitro and in vivo animal studies confirmed that polymerization of flavanols greatly impairs intestinal absorption (Deprez et al., 2001; Donovan et al., 2002). Polymeric procyanidins in cocoa are not absorbed as such, and larger units than the dimer are unlikely to be able to cross the gut barrier (Manach et al., 2005). Even though the absorption of cocoa procyanidin dimers was approximately 100-fold lower than that of the flavanol monomers (Holt et al., 2002), a study demonstrated the presence of procyanidin dimers in plasma within 30 minutes postconsumption of flavanol-rich cocoa (Holt et al., 2002). It was suggested that the biological effects of cocoa may be attributable to actions of procyanidin metabolites that can be more readily absorbed. The incubation of purified and  $^{14}\text{C}$ -labeled procyanidin oligomers with human colonic microflora led to the formation of various polyphenol-derived phenolic acids, *m*-hydroxyphenylpropionic acid, *m*-hydroxyphenylacetic acid, and their *p*-hydroxy isomers, *m*-hydroxyphenylvaleric acid, phenylpropionic acid, phenylacetic acid, and benzoic acid (Deprez et al., 2000). Some of these compounds, *m*-hydroxyphenylpropionic acid, *m*-hydroxyphenylacetic acid, as well as *m*-hydroxybenzoic acid, were shown to be increased in human urine after consumption of procyanidin-rich chocolate (Rios et al., 2003).

The overall effects of cocoa phytochemicals may potentially accumulate. Recently, it was shown that the effects of cocoa polyphenols on flow-mediated dilation of blood vessels can be cumulative if taken in high doses on a daily basis for one week, and with a return to baseline after a week washout (Heiss et al., 2007). A 12-week cocoa study showed an approximately 8-fold increase in catechin excretion and an approximately 10-fold increase in (–)-epicatechin excretion in the cocoa group (Baba et al., 2007b).

## MOLECULAR MECHANISMS ON HEALTH

In the 16th century, Aztec Emperor Montezuma called cocoa a “divine drink, which builds up resistance and fights fatigue. A cup of this precious drink permits a man to walk for a whole day without food” (Hernán Cortés, 1519) (Corti et al., 2009). As noted, cocoa has been used to treat anemia, mental fatigue, tuberculosis, fever, gout, kidney stones, and even poor sexual appetite (Dillinger et al., 2000; Corti et al., 2009). Several health effects of cocoa have been considered, including improved heart, kidney, and bowel function, facilitated digestion, and stimulation of the nervous system (Dillinger et al., 2000; Corti et al., 2009).

**Table 2** Phytochemical content in cocoa beans and cocoa products

	Cocoa beans (mg/g)	Cocoa powder (mg/g)	Chocolate (mg/g)	Cocoa beverage (mg/100 ml)
Procyanidin	n/a	18.35–27.75	1.081–85.36	106–111
(–)-Epicatechin	1.24–16.52	0.116–6.778	0.023–2.270	9.2–59
Catechins	0.05–0.46	0.081–0.896	0.006–0.992	10.7–15
Epicatechin gallate	n/a	n/a	0.005–0.006	n/a
Catechin gallate	n/a	n/a	0.077–0.094	n/a
Epigallocatechin	n/a	n/a	0.032–0.119	n/a
Galocatechin	n/a	n/a	0.164–0.231	n/a
Epigallocatechin gallate	n/a	n/a	0.437–0.462	n/a
Theobromine	11.1–24.0	15.2–25.0	1.20–6.67	128.9–222
Caffeine	2.0–2.9	0.907–2.5	0.170–0.778	8.6–14
Ref.	(Wollgast and Anklam, 2000; Pura Naik, 2001; Caligiani et al., 2007)	(Osakabe et al., 2001; Pura Naik, 2001; Pearson et al., 2002; Miller et al., 2006; Andres-Lacueva et al., 2008; Miller et al., 2009)	(Pura Naik, 2001; Mursu et al., 2004; Fraga et al., 2005; Counet et al., 2006; Miller et al., 2009)	(Heiss et al., 2005; Fisher and Hollenberg, 2006; Heiss et al., 2007)

Note: n/a, not applicable.

**Table 3** Bioavailability studies of phytochemicals derived from cocoa products

Source	Dose	Plasma concentration	Tmax (hours)	Ref.
Chocolate	Chocolate: 41 g (theobromine: 188 mg, caffeine: 26 mg)	Theobromine: 43.2–67.5 $\mu\text{mol/L}$ caffeine: 4.6–25.3 $\mu\text{mol/L}$	1.5	(Ptolemy et al., 2010)
Chocolate	Theobromine: 370 mg caffeine: 72 mg	Theobromine: 8.05 $\mu\text{mol/L}$ caffeine: 1.57 $\mu\text{mol/L}$	1.5–2	(Mumford et al., 1996)
Chocolate	Chocolate: 40, 80 g (theobromine: 405, 810 mg (–)-epicatechin: 82, 164 mg)	Theobromine: 35.33 $\pm$ 4.96, 63.35 $\pm$ 6.60 $\mu\text{mol/L}$ (–)-epicatechin: 355 $\pm$ 100, 675 $\pm$ 196 nmol/L	2–2.6	(Richelle et al., 1999)
Chocolate	Chocolate: 80 g	(–)-Epicatechin: 257 nmol/L	2	(Rein et al., 2000)
Chocolate	(–)-Epicatechin: 220 mg	(–)-Epicatechin: 4.77 $\mu\text{mol/L}$	2	(Baba et al., 2000)
Chocolate	Chocolate: 27, 53, 80 g ((–)-epicatechin: 46, 92, 138 mg)	(–)-Epicatechin: 133 $\pm$ 27, 258 $\pm$ 29, 355 $\pm$ 49 nmol/L	2	(Wang et al., 2000)
Cocoa beverage	Cocoa: 26.4 g (monomer: 323 mg, dimer: 256 mg)	(–)-Epicatechin: 5.92 $\pm$ 0.6 $\mu\text{mol/L}$ catechin: 0.16 $\pm$ 0.03 $\mu\text{mol/L}$ procyanidin B2 dimer: 41 $\pm$ 4 nmol/L	2	(Holt et al., 2002)
Cocoa beverage	(–)-Epicatechin: 220 mg	(–)-Epicatechin: 4.92 $\mu\text{mol/L}$	2	(Baba et al., 2000)
Cocoa beverage	Cocoa: 31 g (flavanol: 450 mg)	Flavanol (sum of (–)-epicatechin, catechin, 4'-O-methyl-catechin, and 3'-O-methyl-catechin): 765 $\pm$ 73 nmol/L	~1.5	(Muniyappa et al., 2008)
Cocoa beverage	Flavanol: 352–370 mg	(–)-Epicatechin: 19 $\pm$ 6 nmol/L (–)-epicatechin-7- $\beta$ -D-glucuronide: 39 $\pm$ 13 nmol/L 4'-O-methyl-(–)-epicatechin: 41 $\pm$ 10 nmol/L 4'-O-methyl-(–)-epicatechin- $\beta$ -D-glucuronide: 287 $\pm$ 58 nmol/L catechin: 18 $\pm$ 3 nmol/L		(Heiss et al., 2005)
Cocoa beverage	Flavanols: 528 mg ((–)-epicatechin + catechin: 40%, procyanidin: 60%)	Total flavanol: over 300 nmol/L ((–)-epicatechin: 10.4% (–)-epicatechin-7- $\beta$ -D-glucuronide: 14.8% 4'-O-methyl-(–)-epicatechin: 10.1% 4'-O-methyl-(–)-epicatechin- $\beta$ -D-glucuronide: 52.2% Catechin: 10.2%)	2	(Heiss et al., 2006)
Cocoa beverage	Cocoa beverage: 0.125 g/kg bw (catechin + (–)-epicatechin: 1.53 mg/kg bw)	Flavanol: 296.4–343.7 ng/ml	1.7	(Schramm et al., 2003)

Note: Tmax = time to max concentration, bw = body weight, Sub = subject, Ref. = reference.

Cocoa products have an antioxidant capacity higher than that of other flavanol-rich foods and beverages, such as apples, red wine, and brewed black tea (Lee et al., 2003). After oral intake of cocoa, both the flavanol content and the total antioxidant capacity in plasma increase (Corti et al., 2009). Theobromine, caffeine, (–)-epicatechin, catechins, and oligomeric procyanidins in cocoa have strong antioxidative effects (Osakabe et al., 1998; Lee, 2000; Weisburger, 2001; Lv et al., 2010). Cocoa polyphenols fractionated from commercial cocoa powder exhibit a dose-dependent free radical-scavenging activity (Lee et al., 2006). Polyphenols extracted from commercial cocoa inhibited ultraviolet-induced DNA oxidation (Ottaviani et al., 2002). An extract of cocoa seeds rich in polyphenols counteracted the increased level of lipid peroxide in rats fed a vitamin E-deficient diet (Yamagishi et al., 2001). Cocoa procyanidin oligomers have been shown to block the effects of peroxynitrite (Arteel et al., 2000). Due to the natural antioxidant flavonoids contained in cocoa, chocolate was found to be resistant to spoilage (Engler and Engler, 2006).

Data from numerous studies suggest that caffeine and flavanols can effectively modify the inflammatory process (Cooper et al., 2008; Brothers et al., 2010; Lv et al., 2010). Caffeine is a nonspecific antagonist at adenosine receptors and adenosine has a known role in the propagation of inflammation (Chavez-Valdez et al., 2009; Brothers et al., 2010). Caffeine has been shown to inhibit tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) production by decreasing TNF- $\alpha$  expression via adenosine receptor blockade (Dray et al., 2007; Chavez-Valdez et al., 2009). On the other hand, cocoa flavonoids have been shown to inhibit lipoxygenases, the key enzymes of leukotriene synthesis (Sies et al., 2005). Comprehensive experimental evidence has demonstrated that cocoa monomer catechins and oligomeric procyanidins have a significant anti-inflammatory effect, inhibit neutrophil oxidative burst, and reduce the expression of adhesion molecules (Mao et al., 2000; Selmi et al., 2008). Cocoa-derived (–)-epicatechin and (+)-catechin, and dimeric flavanols reduce nuclear factor- $\kappa$ B (NF- $\kappa$ B) activation, resulting in reduced production of TNF- $\alpha$  and interleukin-2 (IL-2) (Mao et al., 2002; Mackenzie et al., 2004; Ramiro et al., 2005; Mackenzie and Oteiza, 2006). Although further studies are awaited, the impact of cocoa blocking NF- $\kappa$ B activation might constitute a common trait to all cocoa anti-inflammatory effects (Selmi et al., 2008). Monomeric through pentameric flavanols in cocoa can enhance the secretion of the anti-inflammatory cytokine IL-5, which might protect against chronic infection (Selmi et al., 2008). Specific cocoa flavanols may preferentially stimulate immunoglobulin A, which could in turn reduce the risk for dental caries and infections (Selmi et al., 2008).

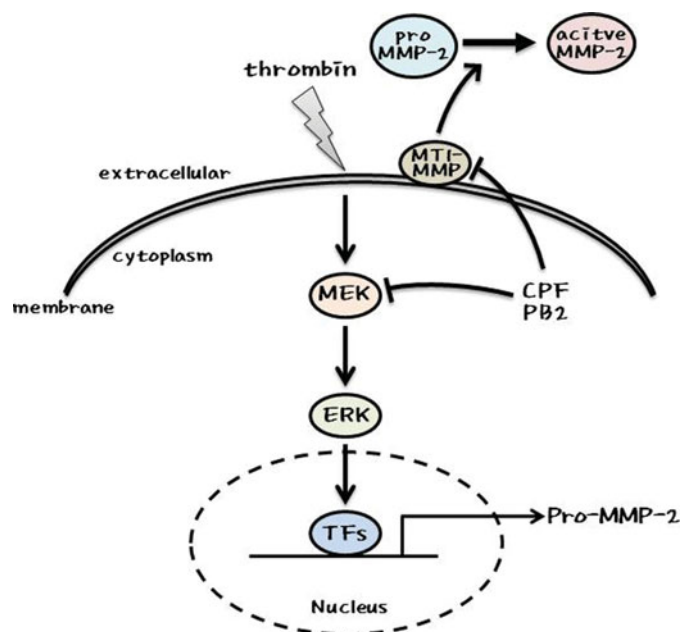
Besides antioxidative and anti-inflammatory activity, there are several other beneficial effects of phytochemicals derived from cocoa in the pathogenesis of chronic human diseases. In the following sections, we summarize the beneficial actions of cocoa-driven phytochemicals in cardiovascular diseases, cancer, neurodegenerative diseases, obesity, and diabetes, as well as skin aging.

### Cardiovascular Diseases

Recent research demonstrates that consumption of cocoa, particularly rich in flavanols, is beneficial to cardiovascular health and disease (Steinberg et al., 2003; Buijsse et al., 2006; Schroeter et al., 2006). Platelets function to maintain vascular integrity. However, increased platelet reactivity and aggregation in the presence of endothelial dysfunction can lead to the development of arterial thrombosis and the progression of atherosclerosis (Ross, 1999; Steinberg et al., 2003). It has been proposed that cocoa inhibits platelet activation and aggregation (Heptinstall et al., 2006). Cocoa flavanol supplementation for 28 days significantly increased plasma (–)-epicatechin and catechin and decreased platelet function (Murphy et al., 2003). In healthy volunteers, consuming 100-g dark chocolate reduced platelet aggregation, an effect not seen after ingestion of white chocolate or milk chocolate (Innes et al., 2003). Dark chocolate decreased not only platelet aggregation but also adhesion in young healthy smokers (Hermann et al., 2006). On the other hand, cocoa catechin and (–)-epicatechin reduced the expression of glycoprotein IIb/IIIa, which aids in platelet activation (Pearson et al., 2002).

Hypercholesterolemia especially increases concentrations of low-density lipoprotein (LDL) cholesterol and leads to the development of atherosclerosis (Stamler et al., 1986; Shepherd et al., 1995). On the other hand, a negative correlation between plasma high-density lipoprotein (HDL) cholesterol and cardiovascular disease has been demonstrated (Gordon et al., 1989; Mursu et al., 2004). Consumption of a flavanol-rich chocolate or phenolic substances derived from cocoa powder contributes to a reduction in LDL cholesterol and an increase in HDL cholesterol in human plasma (Mursu et al., 2004; Fraga et al., 2005; Baba et al., 2007a, 2007b). It was reported that cocoa powder was a potent antioxidant for LDL oxidation (Osakabe et al., 2001; Wan et al., 2001; Baba et al., 2007a, 2007b). At 5- $\mu$ mol/L gallic acid equivalents (GAE), cocoa phenols inhibited LDL oxidation by 75%, whereas pure catechin (5  $\mu$ mol/L) inhibited oxidation by 87% (Waterhouse et al., 1996). Cocoa flavonoids also interact with myeloperoxidase, a prooxidant enzyme that is thought to be involved in peroxidation of LDL (Berliner and Heinecke, 1996; Podrez et al., 2000). The myeloperoxidase-mediated peroxidation of LDL was effectively blocked by the cocoa polyphenol (–)-epicatechin, the corresponding procyanidins, and other flavonoids (Kostyuk et al., 2003; Kraemer et al., 2004). Caffeine was also reported to inhibit LDL peroxidation (Lee, 2000).

Expression and activation of promatrix metalloproteinase-2 (pro-MMP-2) play pivotal roles in the migration and invasion of human aortic vascular smooth muscle cells, which is strongly linked to atherosclerosis (Lee et al., 2008). It has been reported that cocoa procyanidin fraction and procyanidin B2 directly bind with mitogen-activated protein kinase kinase (MEK1), inhibit thrombin-induced MEK1 activity, and subsequently decrease the expression of pro-MMP-2 (Fig. 3, Lee et al., 2008). In addition, cocoa procyanidin fraction and procyanidin B2 directly inhibited membrane type-1 (MT1)-MMP activity,



**Figure 3** Cocoa procyanidin fraction (CPF) and procyanidin B2 (PB2) inhibited the production of matrix metalloproteinase-2 (MMP-2) in vascular smooth muscle cells (Lee et al., 2008). CPF and PB2 directly bound to mitogen-activated protein kinase kinase (MEK) and inhibited its activity, which finally signals to activate extracellular signal-regulated kinase (ERK) and transcription factors (TFs) to express pro-MMP-2. CPF and PB2 also suppressed the activity of membrane type-1 (MT1)-MMP, which promotes the conversion of pro-MMP-2 to active MMP-2. These observations suggest that cocoa procyanidins may favorably affect atherosclerosis by inhibiting the expression and the activation of pro-MMP-2. (Color figure available online.)

which promotes the conversion of pro-MMP-2 to active MMP-2 (Lee et al., 2008). These observations suggest that cocoa procyanidins may favorably affect atherosclerosis by inhibiting the expression and activation of pro-MMP-2.

Inflammation promotes endothelial dysfunction and atherogenesis, and it is now widely accepted that atherosclerosis is a chronic inflammatory disease (Ross, 1999). Homeostatic levels of transforming growth factor (TGF)- $\beta$ 1, a pleiotropic cytokine that plays an important role in preserving endothelial function, are important in maintaining cardiac function. Excess production of TGF- $\beta$ 1 can enhance atherogenesis by promoting excessive extracellular matrix accumulation and lead to cardiac fibrosis (Kenny et al., 1994; Lijnen et al., 2000). It was reported that purified cocoa flavan-3-ols and procyanidins modulated TGF- $\beta$ 1 secretion from resting peripheral blood mononuclear cells, and these effects might potentially benefit cardiovascular health (Mao et al., 2003). Cocoa-derived products rich in flavanols potentially modulate, in a positive way, the immune system response seen in several chronic and acute cardiovascular diseases (Selmi et al., 2006).

Intriguingly, the Kuna Indians, native to islands off the coast of Panama, drink several servings of unprocessed cocoa a day and appear to be the only known society, which is free from hypertension (Hollenberg et al., 1997). It was reported that diets rich in cocoa reduce both systolic and diastolic blood pressure

(Taubert et al., 2007). Consumption of dark chocolate led to a significant increase in resting and hyperemic brachial artery diameter and exerted a beneficial effect on endothelial function in adults (Vlachopoulos et al., 2005). Chronic consumption of a flavanol-rich cocoa reduced plasma soluble vascular cell adhesion molecule-1 (VCAM-1), which might improve flow-mediated dilation and endothelial function (Wang-Polagruto et al., 2006). In a small clinical study, participants who received 46 mg of (–)-epicatechin daily for over a period of two weeks showed endothelium-dependent vasodilation (Engler et al., 2004). Compared with tea polyphenols, cocoa phenols were shown to have a greater efficacy on blood pressure-related disorders (Taubert et al., 2007).

Cocoa mediates an increase in the bioavailability of nitric oxide (NO) in the endothelium and reverses the endothelial dysfunction apparent in cardiovascular diseases (Heiss et al., 2005; Corti et al., 2009). NO from endothelium prevents leukocyte adhesion and migration, smooth muscle cell proliferation, and platelet adhesion and aggregation (Corti et al., 2009). Cocoa lowers vascular arginase activity in endothelial cells, which augments the local levels of L-arginine used to synthesize NO by endothelial NO synthase (eNOS) (Corti et al., 2009). Consequently, eNO produced by cocoa induces a relaxation of vascular smooth muscle cells, leading to vasodilation (Corti et al., 2009). It was reported that a cocoa drink high in flavanol content rapidly enhanced the circulating pool of bioactive NO by more than one third and, in turn, augmented vasodilation in human, suggesting that flavanol in cocoa contributes the effect of cocoa in increasing the bioavailability of NO and inducing vasodilation (Fisher et al., 2003; Heiss et al., 2003). Pure (–)-epicatechin ingestion augmented NO bioavailability and acutely reduced plasma levels of endothelin-1, a potent endothelium-derived vasoconstrictor (Loke et al., 2008). Acute administration of caffeine augments endothelium-dependent vasodilation through an increase in NO production (Umemura et al., 2006). It has been reported that caffeine stimulates the production of NO by triggering calcium-mediated expression of eNOS in endothelial cells (Echeverri et al., 2010).

## Cancer

Population studies have demonstrated that people with a regular intake of foods containing antioxidants, such as vegetables, fruits, tea, or soy products, display a lower incidence of various types of cancer (Weisburger, 2001). It can therefore be postulated that consumption of cocoa or chocolate, which have high antioxidant activity, could be beneficial in inhibiting the complex molecular processes leading to cancer (Weisburger, 2001). It has been reported that cocoa liquor polyphenols have antimutagenic and anticlastogenic activity in vitro (Yamagishi et al., 2002). Cocoa liquor procyanidins significantly reduced the incidence and the multiplicity of lung carcinomas and thyroid adenomas developed in male rats (Yamagishi et al., 2003).

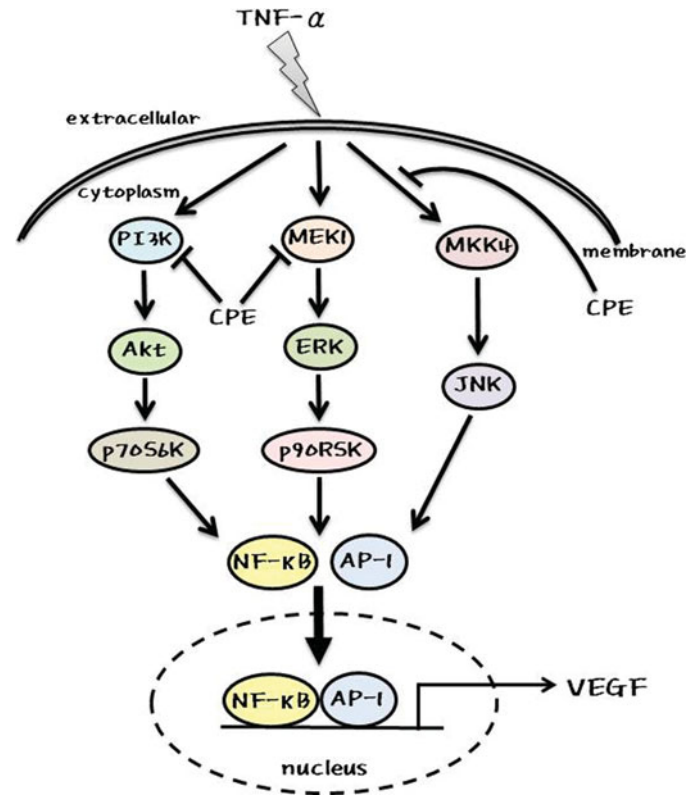


Cocoa procyanidins also inhibited mammary and pancreatic tumorigenesis in female rats (Yamagishi et al., 2002).

Water extract of white cocoa tea leaves (100–150  $\mu\text{g/ml}$ ), which is equivalent to 44–66  $\mu\text{M}$  of gallocatechin gallate, inhibited cell proliferation in human prostate cancer cells, which correlated with cell cycle G2/M phase arrest, and resulted in induction of WAF1/p21 and KIP1/p27, and a decrease in cyclin-D1, -D2, and -E, and Cdk-2, -4, and -6 (Peng et al., 2010). When the human prostate cancer cells were implanted to athymic nude mice, oral administration of water extract of white cocoa tea (0.1 and 0.2%, wt/vol) resulted in a greater than 50% inhibition of implanted prostate tumor growth, together with an increase in WAF1/p21 and a decrease in cyclin-D1 expression in tumor tissues of these mice (Peng et al., 2010). Procyanidin-enriched cocoa extracts also caused G2/M cell cycle arrest and a 70% growth inhibition in human colon cancer cells (Carnesecchi et al., 2002). It has been reported that caffeine also induces cell cycle arrest through the protein kinase A/glycogen synthase kinase 3 $\beta$  pathway in human glioma cells (Ku et al., 2010). Flavonoids and caffeine in cocoa may have an antiproliferative effect on human cancer cell growth (Jourdain et al., 2006; Okano et al., 2008).

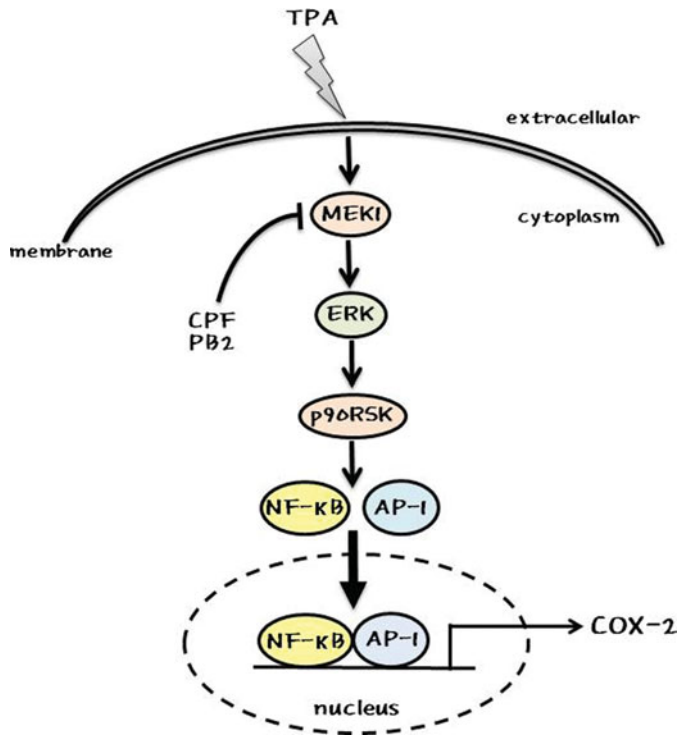
Angiogenesis plays an important role in cancer growth and metastasis formation. Adenosine is one of the most potent stimulators of neovascularization. Theobromine, as an adenosine receptor antagonist, causes significant inhibition of angiogenic activity and diminishes vascular endothelial growth factor (VEGF) production in vitro and in vivo (Barcz et al., 1998). When theobromine was subcutaneously administered to BALB/c mice in doses of 1–125 mg/kg body weight after intradermal inoculation of lung carcinoma cells, it inhibited tumor-related angiogenesis (Gil et al., 1993). Cocoa-derived theobromine also inhibited angiogenesis induced by ovarian and urothelial cancer cells through the inhibition of VEGF production (Barcz et al., 1998; Skopinska-Rozewska et al., 1998). Another methylxanthine found in cocoa, caffeine, has also been shown to suppress tumor cell invasiveness and experimental metastasis (Yang et al., 2004). Caffeine inhibited adenosine-induced accumulation of hypoxia-inducible factor-1 $\alpha$  (HIF-1 $\alpha$ ), VEGF, and IL-8 expression in hypoxic human colon cancer cells (Merighi et al., 2007). It was found that caffeine mediates the inhibition of calcium release channel inositol 1,4,5-trisphosphate receptor (IP<sub>3</sub>R) subtype and blocks glioblastoma invasion (Kang et al., 2010). Cocoa polyphenol extract was also found to reduce the upregulation of VEGF (Fig. 4, Kim et al., 2010). Cocoa polyphenol extract inhibited the activities of phosphoinositide 3-kinase (PI3K), MEK1, and mitogen-activated protein kinase kinase (MKK4), which induce the activation of the nuclear transcription factors NF- $\kappa$ B and activator protein-1 (AP-1), the key regulators of VEGF expression (Kim et al., 2010). Cocoa flavonoids procyanidins also reduced VEGF activity and angiogenic activity associated with tumor pathology in human aortic endothelial cells (Kenny et al., 2004).

It was also shown that cocoa inhibits neoplastic cell transformation by suppressing the kinase activity of MEK1 and



**Figure 4** Cocoa polyphenol extract (CPE) suppressed tumor necrosis factor- $\alpha$  (TNF- $\alpha$ )-induced expression of vascular endothelial growth factor (VEGF) by inhibiting phosphoinositide 3-kinase (PI3K), mitogen-activated protein kinase kinase 1 (MEK1), and mitogen-activated protein kinase kinase 4 (MKK4) activities in mouse epidermal cells (Kim et al., 2010). CPE bound to PI3K and MEK1, and PI3K-mediated protein kinase B (Akt)/p70 kDa ribosomal protein S6 kinase (p70S6K) and MEK1-induced extracellular signal-regulated kinase (ERK)/p90 kDa ribosomal protein S6 kinase (p90RSK) pathway leading to activation of nuclear factor- $\kappa$ B (NF- $\kappa$ B) and activator protein-1 (AP-1) and further expression of VEGF were attenuated by CPE. CPE also suppressed the activity of MKK4 and blocked the JNK/NF- $\kappa$ B/AP-1/VEGF signaling pathway. Because the expression of VEGF regulates angiogenesis in cancer, cocoa may have anticancer activity by inhibiting the expression of VEGF. (Color figure available online.)

p38 mitogen-activated protein kinase (MAPK) (Fig. 5, Lee et al., 2006; Kang et al., 2008). Cocoa procyanidin fraction or procyanidin B2 directly bound with MEK1 and inhibited the tumor promoter 12-*O*-tetradecanoylphorbol-13-acetate (TPA)-induced kinase activity of MEK1, extracellular signal-regulated kinase (ERK), p90 kDa ribosomal protein S6 kinase (p90RSK), and subsequently suppressed the activation of NF- $\kappa$ B and AP-1, the expression of cyclooxygenase-2 (COX-2), and neoplastic transformation of epidermal cells (Kang et al., 2008). Cocoa procyanidin fraction or procyanidin B2 also inhibited cell transformation induced by epidermal growth factor or H-Ras, both of which are known to induce MEK1 activation (Kang et al., 2008). In contrast, theobromine, the most bioavailable phytochemical after consumption of cocoa, had no effect on TPA-induced MEK1 activation, COX-2 expression, or neoplastic transformation (Kang et al., 2008).

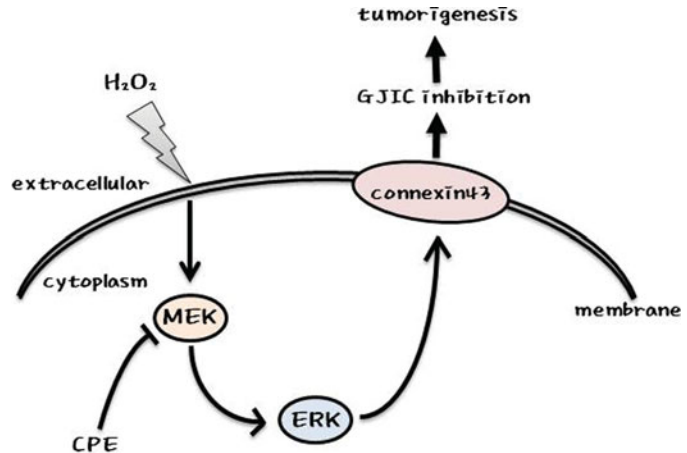


**Figure 5** Cocoa procyanidin fraction (CPF) and procyanidin B2 (PB2) suppressed neoplastic cell transformation by inhibiting mitogen-activated protein kinase kinase 1 (MEK1) (Kang et al., 2008). The tumor promoter 12-*O*-tetradecanoylphorbol-13-acetate (TPA)-induced MEK1/extracellular signal-regulated kinase (ERK)/p90 kDa ribosomal protein S6 kinase (p90RSK) signaling pathway leading to activation of nuclear factor- $\kappa$ B (NF- $\kappa$ B) and activator protein-1 (AP-1) and expression of cyclooxygenase-2 (COX-2), which is involved in tumor promotion and inflammation, were dose dependently inhibited by CPF or PB2. (Color figure available online.)

Inhibition of gap-junction intercellular communication (GJIC) is strongly related to tumorigenesis and cocoa polyphenol extracts dose dependently attenuated hydrogen peroxide ( $H_2O_2$ )-induced inhibition of GJIC in rat liver epithelial cells (Fig. 6, Lee et al., 2010) cocoa polyphenol extracts inhibited the  $H_2O_2$ -induced activation of MEK and ERK and further attenuated the phosphorylation and internalization of connexin 43, a regulating protein of GJIC (Lee et al., 2010). Cocoa polyphenol extracts may suppress  $H_2O_2$ -induced tumorigenesis through GJIC protection.

### Neurodegeneration

Consumption of cocoa flavanols has been reported to result in improvements in memory and learning (Bisson et al., 2008; Spencer, 2009; Scholey et al., 2010). Both long- and short-term memory processes were improved by the consumption of cocoa polyphenolic extract, as evaluated by month-to-month or trial-to-trial performances (Bisson et al., 2008). Available evidence indicates that (–)-epicatechin does cross the blood–brain barrier, as epicatechin glucuronide and 3'-*O*-methyl epicatechin glucuronide have been observed in rat brain for up to 10 days af-



**Figure 6** Cocoa polyphenol extracts (CPE) attenuated hydrogen peroxide ( $H_2O_2$ )-induced inhibition of gap-junction intercellular communication (GJIC), which is involved in tumorigenesis (Lee et al., 2010). CPE inhibited the kinase activity of mitogen-activated protein kinase kinase (MEK) and suppressed the signaling pathway of extracellular signal-regulated kinase (ERK)-connexin 43, which leads to inhibition of GJIC and tumorigenesis. (Color figure available online.)

ter oral administration of (–)-epicatechin (Abd El Mohsen et al., 2002; van Praag et al., 2007). A single acute dose (450 mg) of flavanol-rich cocoa increased local cerebral blood flow to grey matter by up to 60% at two to three hours postconsumption (Francis et al., 2006). A flavanol-rich cocoa drink may induce peripheral and cerebral vascular blood flow in a manner that may lead to the induction of angiogenesis and new nerve cell growth in the hippocampus (Spencer, 2009). It has been reported that (–)-epicatechin consumption enhances cognition and spatial memory by increasing angiogenesis and neuronal spine density in the dentate gyrus of the hippocampus (van Praag et al., 2007). On the other hand, short-term administration of cacao mass showed anxiolytic effects, with administration decreasing conditioned fear-related behavior (Yamada et al., 2009). Methylxanthines, the combination of caffeine and theobromine, are known as the psychopharmacologically active constituents of chocolate (Smit et al., 2004).

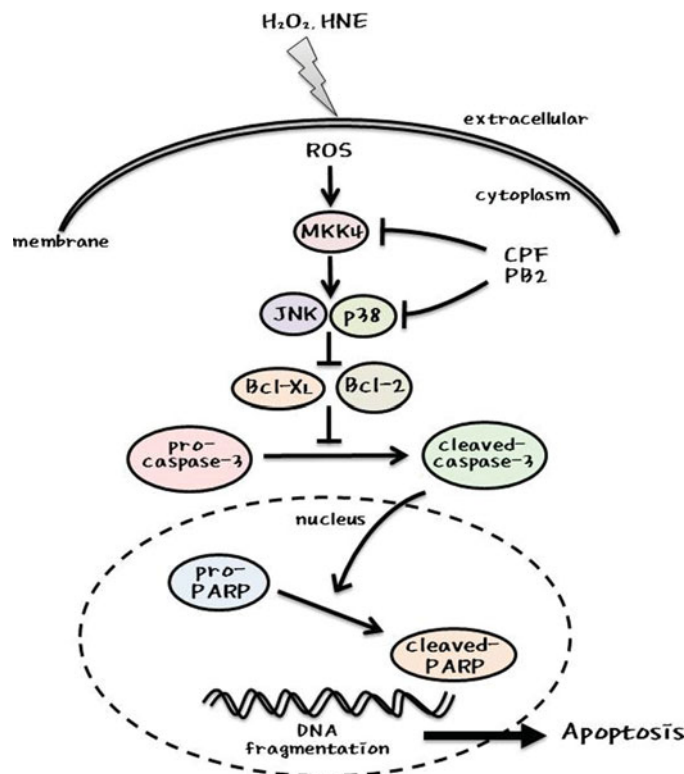
The association between caffeine intake and cognitive decline in a community-based sample of subjects aged 65 years and over was examined, and the psychostimulant properties of caffeine appeared to reduce cognitive decline in women without dementia, especially at older ages (Ritchie et al., 2007). Caffeine reliably affects cognitive and psychomotor performance, even at doses as low as 12.5 mg (Smit and Rogers, 2000). Caffeine has also been shown to be protective against Alzheimer's disease and Parkinson's disease (Chen et al., 2010; de Mendonca and Cunha, 2010; Eskelinen and Kivipelto, 2010; Prediger, 2010). Recent experimental findings have indicated that caffeine can manage the nonmotor symptoms of Parkinson's disease, which do not improve with the current dopaminergic drugs (Prediger, 2010). Stimulatory effects of caffeine are thought to be caused primarily by adenosine receptor antagonism (Nehlig et al., 1992).

There is a possible role for (–)-epicatechin in reducing neurodegenerative disorders such as Alzheimer's disease and Parkinson's disease (Schroeter et al., 2000; Pan et al., 2003). In neuronal viability assays, (–)-epicatechin reduced caspase-3-mediated neuronal cell death triggered by *tert*-butyl hydroperoxide (*t*-BuOOH) or H<sub>2</sub>O<sub>2</sub> (Shah et al., 2010). It has been shown that both (–)-epicatechin and catechin inhibit  $\beta$ -amyloid (A $\beta_{25-35}$ )-induced apoptosis in neuron-like PC12 cells (Heo and Lee, 2005), and a 30-mg dose of (–)-epicatechin limits the hippocampal toxicity caused by A $\beta_{25-35}$  in rats (Cuevas et al., 2009). Furthermore, (–)-epicatechin has been found to prevent stroke damage through the nuclear factor (erythroid-derived 2)-like 2 (Nrf2) and heme oxygenase-1 (HO-1) pathway (Shah et al., 2010). (–)-Epicatechin-associated neuroprotection was mostly abolished in mice lacking the transcriptional factor Nrf2 or the enzyme HO-1, and in neurons derived from these knock-out mice, suggesting that (–)-epicatechin exerts part of its beneficial effect through the activation of Nrf2 and an increase in the neuroprotective HO-1 enzyme (Shah et al., 2010).

Cocoa procyanidin fraction or procyanidin B2 inhibited neuronal cell death caused by H<sub>2</sub>O<sub>2</sub> or 4-hydroxynonenal (HNE), as shown in Fig. 7 (Cho et al., 2008, 2009). Pretreatment with cocoa procyanidin fraction or procyanidin B2 before H<sub>2</sub>O<sub>2</sub> or HNE treatment diminished proapoptotic propoly ADP ribose polymerase (pro-PARP) cleavage and increased the level of the antiapoptotic proteins Bcl-X<sub>L</sub> and Bcl-2 compared with those treated only with H<sub>2</sub>O<sub>2</sub> or HNE (Cho et al., 2008, 2009). Activation of caspase-3 by H<sub>2</sub>O<sub>2</sub> or HNE was inhibited by pretreatment with cocoa procyanidin fraction or procyanidin B2 (Cho et al., 2008, 2009). It was found that cocoa procyanidin fraction or procyanidin B2 protect neuron-like cells against H<sub>2</sub>O<sub>2</sub>- or HNE-induced apoptosis by blocking the activity of MKK4, c-Jun N-terminal protein kinase (JNK), and p38 MAPK.

### Obesity and Diabetes

It was reported that cocoa supplementation could reduce lipid profiles of normo- and hypercholesterolemic human subjects (Baba et al., 2007a). Cocoa powder, rich in polyphenols such as catechins and oligomeric procyanidins, has a hypocholesterolemic effect in humans (Yasuda et al., 2008). A high-cholesterol diet containing 1% polyphenol extract from cocoa powder significantly lowered plasma cholesterol concentrations and increased fecal cholesterol and total bile acids excretion than did a high-cholesterol diet without the extract (Yasuda et al., 2008). Procyanidin B2 (dimer), B5 (dimer), C1 (trimer), and A2 (tetramer), as well as catechin and (–)-epicatechin decrease micellar solubility of cholesterol in vitro (Yasuda et al., 2008). It has been noted that oligomeric procyanidins from cocoa powder might be the principal active components responsible for the hypocholesterolemic effect and may inhibit the intestinal absorption of cholesterol and bile acids through the decrease in micellar cholesterol (Yasuda et al., 2008).



**Figure 7** Cocoa procyanidin fraction (CPF) or procyanidin B2 (PB2) attenuate 4-hydroxynonenal (HNE)-induced neuron-like cell death by directly inhibiting mitogen-activated protein kinase 4 (MKK4), c-Jun N-terminal protein kinase (JNK), and p38 mitogen-activated protein kinase (MAPK) (Cho et al., 2008, 2009). (Color figure available online.)

A long-term study examining the effects of caffeine on weight reported that increases in caffeine intake may lead to reductions in long-term weight gain (Lopez-Garcia et al., 2006). The intake of caffeine progressively reduced body fat mass and body fat percentage in rats fed a high-fat diet (Kobayashi-Hattori et al., 2005). It was suggested that the caffeine intake induced lipolysis via increased levels of catecholamines (Kobayashi-Hattori et al., 2005). On the other hand, caffeine did not inhibit the differentiation of 3T3-L1 preadipocytes to mature adipocytes, but it did suppress the intracellular lipid accumulation after complete differentiation in a dose-dependent manner (Nakabayashi et al., 2008).

One study has indicated that cocoa has the ability to prevent the development of diabetes in genetically inherited diabetic rats (Tomaru et al., 2007). Experimental evidence in obese-diabetic mice suggested that cocoa prevents hyperglycemia (Corti et al., 2009). In diabetic rats, a cocoa extract diet (containing 285.6 mg total polyphenol per gram extract) for four weeks significantly lowered the serum glucose levels compared with the control (Ruzaidi et al., 2005). Consumption of dark chocolate and cocoa improves glucose metabolism, including insulin resistance and sensitivity (Grassi et al., 2005). Epidemiological studies have indicated that caffeine consumption is associated with a decreased risk of type 2 diabetes (Biessels, 2010).

## Skin Aging

Consumption of high-flavanol cocoa improves skin texture, mainly its density, thickness, roughness, scaling, and hydration (Heinrich et al., 2006). A single dose of flavanol-rich cocoa leads to increase blood flow to cutaneous and subcutaneous tissues within two hours after ingestion (Gasser et al., 2008). Cocoa beverages rich in flavanols also decrease the sensitivity of human skin to ultraviolet light (Heinrich et al., 2006). Oral or topical procyanidin inhibits the ultraviolet radiation-induced erythema response (Heinrich et al., 2006). Regular consumption of cocoa rich in flavanols may help maintain skin health and confer substantial photoprotection.

## Precautions and Limitations

Because of cocoa's long-term use with no reported adverse effects in human, there has not been great concern over its safety. The toxicity of the methylxanthines, specifically theobromine, is under consideration (Tarka, 1982). In humans, long-term ingestion of extremely large amounts of cocoa products (daily equivalent of 100-g cocoa powder or 1.5-g methylxanthines) results in sweating, trembling, and severe headaches (Tarka, 1982). Although cocoa has desirable effects in humans, it cannot be efficiently metabolized in many animals, including dogs and cats, and can lead to cardiac and nervous system problems, and if consumed in high quantities, even death (Tarka, 1982).

Overall, what is known so far about the actions of cocoa and cocoa products suggests that they can be considered part of a wholesome, health-promoting nutritional food (Weisburger, 2001). It should be noted that the health-promoting effects of cocoa refers to raw cocoa and, to a lesser extent, dark chocolate, as flavonoids degrade during cooking and alkalizing processes. Because high sugar intake is associated with obesity and diabetes, cocoa products with no or low sugar content are preferred. The accurate assessment of the flavanol content and its bioavailability in cocoa products is required to interpret its biological effects. Finally, larger studies with a placebo-controlled, prospective design might be needed to clarify the protective effects of cocoa and its phytochemicals on human health.

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